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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: DAWOOD PARKER

ART UNIT:

SERIAL NO.: 09/762,923

EXAMINER:

FILED: CONCURRENTLY HEREWITH

P.C.T. APPLICATION NO.: PCT/GB99/02510

EARLIEST PRIORITY CLAIMED: AUGUST 13, 1998

P.C.T. INTERNATIONAL FILING DATE: JULY 30, 1999

U.S. NATIONAL FEE PAID: FEBRUARY 12, 2001

TITLE: OPTICAL DEVICE

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents. United States Patent and Trademark Office Washington, D. C. 20231

Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicant wishes to call the attention of the Examiner to the following references:

Issue Date U.S. Patent No. Inventor February 1, 1972 3,638,640 Robert F. Shaw

"Express Mail" mailing label number __ EF 100061435 US Date of Deposit April 16, 2001

I hereby certify that this paper is being deposited with the U.S. Postal Service "Express Mail - Post Office to Addressee". service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Hon. Commissioner for Patents, United States Patent and Trademark Office, Washington, D. C. 20231.

Edwin D. Schindler, Reg. No. 31,459

April 16, 2001 Date

Document No.	Country/P.C.T.	Published/Issu d
0,286,142	European Patent Off.	October 12, 1988
WO 91/01678	PCT/Int'l Bureau (WIPO)	February 21, 1991
WO 94/03102	PCT/Int'l Bureau (WIPO)	February 17, 1994
0,586,025	European Patent Off.	March 9, 1994

A copy of each of the references is submitted herewith along with Form PTO-1449.

The enclosed references were cited during the P.C.T. international phase of Applicant's corresponding international application, No. PCT/GB99/02510, filed under the provisions of the Patent Cooperation Treaty, or otherwise known to Applicant.

The accompanying references relate generally to oximeters and other optical devices, generally known to the prior art.

These citations do not constitute an admission by

Applicant that the references are relevant or material to th

patentability of the claims.

Inasmuch as the present Information Disclosure Statement

is being filed prior to issuance of a first Office Action on the merits, no fee for its submission is submitted to be due.

Respectfully submitte

DAWOOD PARKER

Edwin D. Schindler Attorney for Applicant Reg. No. 31,459

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April 16, 2001

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

Complete if Known					
Application Number 09/762,923					
Filing Date	Concurrently Herewith				
First Named Inventor	Dawood Parker				
Group Art Unit					
Examiner Name					
Attorney Docket Number					

U.S. PATENT DOCUMENTS						
		U.S. Patent Document		Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevant
	Cite No.1	Number (if known)		of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
		3,638,64	0	Robert F. Shaw	02-01-1972	
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	FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials No.		Office ³	Foreign Patent Do	Curnent Kind Code ⁵ (# known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т4
		EP	0,286,142	A2	Sumitomo Electric	10-12-1988		
		WO	91/01678	A1	Nat'l Research Dev.	02-21-1991		
		WO	94/03102	A1	U. College of Swansea	02-17-1994		
		EP	0,586,025	A2	Edward V. Thomas	03-09-1994		-
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Examiner	D	Date	
Signature		Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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